. #4



### PATENT APPLICATION

RECEIVED RECHNOLOGY CENTER ROTOO

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66356

Yasumichi KUWAYAMA, et al.

Appln. No.: 09/960,741

Group Art Unit: 3729

Confirmation No.: 5032

Examiner: NOT YET ASSIGNED

Filed: September 24, 2001

For: METHOD OF CONNECTING TERMINAL TO WIRE

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicants hereby notify the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed: (1) No later than three months from the application's filing date for an application other than a continued prosecution application (CPA) under §1.53(d); (2) Before the mailing date of the first Office Action on the merits (whichever is later); or (3) Before the mailing date of the first Office Action after filing a request for continued examination (RCE) under §1.114, and therefore, no Statement under

INFORMATION DISCLOSURE STATEMENT

U.S. Appln. No.: 09/960,741

ATTORNEY DOCKET NO. Q66356

37 C.F.R. § 1.97(e) or fee under 37 C.F.R. § 1.17(p) is required. Nevertheless, since a Statement

can be made, a Statement is submitted herewith.

The submission of the listed documents is not intended as an admission that any such

document constitutes prior art against the claims of the present application. Applicants do not

waive any right to take any action that would be appropriate to antedate or otherwise remove any

listed document as a competent reference against the claims of the present application.

Respectfully submitted,

Registration No. 23,063

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

PATENT TRADEMARK OFFICE

Date: April 9, 2003

# APR 1 5 2000 H

## PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q66356

Yasumichi KUWAYAMA, et al.

Appln. No.: 09/960,741

Group Art Unit: 3729

Confirmation No.: 5032

Examiner: NOT YET ASSIGNED

Filed: September 24, 2001

For: METHOD OF CONNECTING TERMINAL TO WIRE

STATEMENT UNDER 37 C.F.R. § 1.97(e)

RECEIVED

APR 1 \$ 2003

Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

Darryl Mexic

Registration No. 23,063

SUGHRUE MION, PLLC

Telephone: (202) 293-7060

Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

PATENT TRADEMARK OFFIC

Date: April 15, 2003

Substitute for Form 1449 A & B/PTO

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Sheet

(use as many sheets as necessary)

of

neie ij Known
09/960,741
5032
September 24, 2001
Yasumichi KUWAYAMA
3729
NOT YET ASSIGNED
Q66356

Examiner Initials*	Cite No.1	Document		Dublication Data	
			Number	Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY
		US 4,142,771		3/6/1979	Barnes et al.
		US 5,445,535		8/29/1995	Phillips, Jr. et al.
		US 3,404,368		10/1/1968	L.E. Roberts et al.
		US 6,098,443		8/8/2000	Müller et al.
		US 3,744,114		7/10/1973	Melsom APR ,
		US			TECHU & 200
		US			1/1/OLOG
		US			TECHNOLOGY CENTER ROTOO
		US			EAR

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date	Name of Patentee or	m 6
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>
			·	-			
			<del></del>				
			****				
					·		
			<del></del>	<del>                                     </del>			

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No.1	d. Translation <sup>6</sup>			

Examiner Signature	Date Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.